Transform Your Wealth Business

ACCELERATE TRANSFERS

Time is money. Stop wasting time trying to manage your wealth transfers with paper files, spreadsheets and faulty memory. Leverage Wealth Tracker's technology to more efficiently manage every aspect of the transfer process.

Transfer Length by Relinquishing Institution

Institution	Average
Morneau-Shepell	85.0
Investia	44.5
CIBC	42.0
Manulife Financial	38.0
APS	29.0
Mawer Investment Management	27.0
LAPP	26.0
AGF	26.0
RBC Direct Investing	24.0
Scotia Securities	21.8
Royal Bank of Canada (RBC)	21.5
ATB Securities	20.5
Manulife Securities (Nom/CN)	17.5
Qtrade Financial Group	15.2

- Quickly access detailed contact information for transfers at dozens of the largest Canadian relinquishing institutions
- Track outstanding transfer status by days since request acknowledged
- Compile all transfer related documents and records electronically in one spot
- Automate reports for clients on your activities to service their transfer requests

BUILD CLIENT TRUST

"So, where's my money?"

Log all of your team's activities on client transfer requests. Create pdf reports, with one click, which you can email to clients. Show them your efforts, with time stamps, and look like a competent hero to them right from the start.

SPOT PROBLEMS ASAP

Outstanding transfers are tracked by date, with customizable colour coded reports, to help you or your assistant zero in quickly on problem transfers that seem to be stuck in limbo.

Frustrated by the entire wealth transfer process? Wishing you could speed things up and receive funds more quickly? Not sure exactly who to contact, the right number to call or their preferred method of receiving documents?

You've got questions and we've got answers.

Wealth Tracker was developed by working closely with a successful financial advisor in a major Canadian market. Challenges in the process were broken down, step-by-step, and advisor-focused solutions were created. Rigorous field testing with advisors and assistants ensured that we got it right. Advisor results after adopting Wealth Tracker have been impressive, with shorter timelines and solid increases in net wealth deposits.

"In the short time that I have used Wealth Tracker, it has made a huge difference with the organization of documents and information. Everything is in one place which saves a lot of time and headaches. The system also helps hold me accountable for investment transfer follow ups. I can no longer remember how we kept organized without it." - Shandra, LAA

"I really do not remember what I did before Wealth Tracker. This program allows me to have all my pending money sitting in one nice neat place. I am able to be more proactive with the funds right in front of my face. I no longer have to wait on clients to call me before I take action. This keeps me accountable and helps with organization." - Erika, LAA

Wealth Tracker
by Gritware

INTELLIGENT DESIGN

Wealth Tracker was designed by an advisor. It collects only relevant information to accomplish a transfer and minimizes the number of steps required to do any task.

REDUCE ERRORS

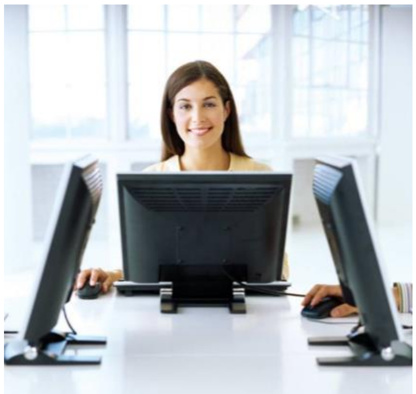
Information is entered once. The application comes preloaded with standard email templates to notify internal contacts on transfer completion. Emails are prepopulated with the correct email addresses, transfer information and amounts.

CORPORATE CONTACTS

Wealth Tracker comes preloaded with recent information on the largest Canadian relinquishing institutions. Get accurate phone and fax numbers, as well as preferred methods for receiving documents and other tips.

For more information on any of our products or services please visit us on the Web at: www.gritware.com

Wealth Tracker Features and Benefits



Features

- ✓ Detailed contact and process information at relinquishing institutions
- ✓ Ability to store account data and transfer documentation as PDFs
- ✓ Email notification capability using prepopulated data
- ✓ Ability to log status updates with various transfer departments
- ✓ Live dashboard indicating status of transfer, with preset alerting by color coding if holding is past due
- ✓ Reports are customizable to sync with your institution's fiscal and/or incentive program periods
- ✓ Fully customizable reporting module with preset reports; notably reports that track performance of relinquishing institutions in # of days from transfer request initiation to receipt of funds.

Benefits

Time savings and error reductions * Eliminates cross referencing between CRM, portals, and email systems

Eliminates gaps in record keeping or memory * Focuses attention on problem transfers and institutions for follow up

Clearly shows advisor or assistant progress/amount remaining toward fiscal period goals or incentive targets

Operating Conditions

- Runs on Windows 7 or higher. Requires web service component i.e. IIS on Admin Assistant PC.
- Operates inside financial centre environments.
- Secure: password protected and no internet access required.
- Advisor access via web browser.
- Installations can accommodate single advisors or various combinations of advisors and assistants. Permission settings guard information privacy.

SERVICES AVAILABLE

Technical Support

Installation and Setup

Maintenance

Application Support

Contact data updates

Wealth Tracker

by Gritware

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